PTO-1390 (Rev. 12-2004)
Approved use through 3/31/2007. OMB 0651-0021
U.S. Patent and Tradema ce; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTTORNEY'S DOCKET NUMBER
3085 USOP

U.S. APPLICATION 10 (IERIO CEPT) 6R45

CON	CERNING A SUBMISSIO	U.S. APPLICATION OF THE PROPERTY (SR45)								
	TIONAL APPLICATION NO. T/JP03/08791	INTERNATIONAL FILING DATE July 10, 2003	PRIORITY DATE CLAIMED July 11, 2002							
TITLE OF INVENTION PYRROLOPYRIDINE DERIVATIVE AND USE THEREOF										
APPLICANT(S) FOR DO/EO/US										
Takahiro MATSUMOTO; Osamu KURASAWA; Tsuneo ODA; Hiroshi NAGABUKURO; Manabu MOCHIZUKI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
2.	his is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4.	The US has been elected (Article 31).									
5. 🗹	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. Las been communicated by the International Bureau.									
100	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. is attached hereto.									
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).								
7. 🗹	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. are attached hereto (required only if not communicated by the International Bureau).									
	b. have been communicated	b. have been communicated by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. have not been made and	d. I have not been made and will not be made.								
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items	s 11 to 20 below concern document(s	s) or information included:								
11. 🗹	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98. Cited F	References							
12. 🗸	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. 🗹	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15.	A substitute specification.									
16.	A power of attorney and/or change of address letter.									
17.	A computer-readable form of the seq	quence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published Inter	national Application under 35 U.S.C. 154(d)(4).							
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20.	Return Posts Other items or information:	card; Certificate of Express Mailing; Cover page Int'l Ap	pplication; Forms PCT/IB/301,304,306,308,332; ISR							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATIO	N NO. (if known, see 3		INTERNATIONAL APP	PLICATION NO.	ATTORNEY'S DOC	KET NUMBER			
1	0/520/	84	PCT/JP03/08791		3085 US0P				
	fees are submitted:	*							
	onal fee	\$	ļ						
b) Examination	on fee	\$							
C) Search fee		\$							
	OTAL OF ABOVE CAL	\$ 1,000.00							
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Total Sheets			of each additional 50 or fraction f (round up to a whole number)						
216 - 100 =	116 /50 =	2		x \$250.00	\$ 500.00				
Surcharge of \$130. claimed priority date	.00 for furnishing the oa e (37 CFR 1.492(e)).	\$							
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA	RATE	\$				
Total claims	17	- 20 =	0	x \$50.00	\$ O				
Independent claims	3 2	- 3 =	0	x \$200.00	\$ 0				
MULTIPLE DEPEN	IDENT CLAIM(S) (if ap	plicable)		+ \$360.00	\$				
		\$ 1,500.00							
Applicant clai by 1/2.	\$								
		\$ 1,500.00							
_	130.00 for furnishing the (37 CFR 1.492(f)).	\$							
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	he enclosed assignmer cover sheet (37 CFR 3.	\$ 1,540.00							
		\$ 1,540.00							
		Amount to be refunded:	\$						
					Amount to be charged:	\$			
a. A check i	in the amount of \$		to cover the above	e fees is enclosed					
a. A check in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No. 500799 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No500799 A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Mark Chao, Ph.D., JD									
Takeda Pharmad	ceuticals North America,								
475 Half Day Ro	ad	Chao, Ph.D., JD							
Lincolnshire, IL 60069 USA NAME									
(847)383-3372	fax (847)383-3409	7,293	·						
		ION NUMBER ner No. 23,115							

FORM PTO-1390 (REV. 12-2004)

Page 2 of 2

IN THE UNITED STATES PATENTAND TRADEMARK OFFICE

Application No.:

Based on PCT/JP03/08791

Filed:

Intl. Filing 10 JULY 2003

1st Inventor:

Takahiro MATSUMOTO

For:

Pyrrolopyridine Derivative and Use Thereof

Atty. Dkt. No.

3085 US0P

Art Unit:

tba

Examiner:

tba

Allowed:

Batch:

Paper No.:

Sequence Listing Requirements STATEMENT

MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450

Sir:

Applicants respectfully submit a written Sequence Listing for the Specification and a DOS Text CRF Sequence Listing file "3085us0p.SEQ.txt" on the enclosed 3.5" IBM PC/AT computer disk.

The undersigned hereby certifies that the CRF and the written Sequence Listing are the same in content, with only the addition of additional identification information. The Sequence Listing contains no new matter.

Respectfully submitted

Dated: January 10, 2005

(847)383-3372

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Mark Chao, Ph.D., Reg. No. 37,293

Elaine M. Ramesh, Ph.D., Reg. No. 43032

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Takeda Pharmaceuticals North America, Inc. Intellectual Property Department Suite 500, 475 Half Day Road Lincolnshire, IL 60069 USA

DT12 c'd PCT/PTO 1 0 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

Based on PCT/JP03/08791

Intl. Filing 10 JULY 2003

1st Inventor:

Takahiro MATSUMOTO

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Filed:

Pyrrolopyridine Derivative and Use Thereof

Atty. Dkt. No.

3085 US0P

Art Unit:

tba

xaminer:

tha

Allowed:

Batch:

Paper No.

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

USPS EXPRESS MAIL LABEL. No. EV 524906950 US

DATE IN:

January 10, 2005

Itemized Papers/Items:

- 1. This Certificate of Express Mailing and Return Postcard (2 pages)
- 2. Transmittal Letter for Filing under 35 USC 371 (2 pages X 2)
- 3. Specification total pages (216) including Claims 1-17 (pages 211-214, Abstract (1 page), and Sequence Listing (1 page)
- 4. Declaration and Power of Attorney (5 pages)
- 5. Copies of Forms PCT/IB/301, 304, 306, 308, 332 (6 pages)
- 6. Copy of Cover Page International Application (WO 2004/007495) (1 page)
- 7. Copy of International Search Report (3 pages)
- 8. Preliminary Amendment (3 pages)
- 9. Information Disclosure Statement (1 page), Form PTO/SB/08A (1 page), cited references (3)
- 10. Submission of Sequence Listing Requirements (1 page), CRF of Sequence Listing (2 pages), and IBM PC/AT format disk containing the CRF of the Sequence Listing.
- 11. Executed Assignment (2 pages) and Recordation Cover Sheet (1 page X 2)

12. CREDIT CARD PAYMENT FORM

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

MAIL STOP PCT P.O. BOX 1450

Commissioner for Patents Alexandria, VA 22313-1450

on this date, 1/10/05

Dated: 1/10/05

Gail L. Winokur

Takeda Pharmaceuticals North America, Inc. Intellectual Property Department 475 Half Day Road Lincolnshire, IL 60069 USA